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Multiplicity results for a Kirchhoff-type doubly eigenvalue boundary value problem

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Abstract

This paper is concerned with the existence of at least three weak solutions to a class of Kirchhoff-type doubly eigenvalue boundary value problem. The technical approach is mainly based on a very recent three critical points theorem due to B. Ricceri [On a three critical points theorem revisited, *Nonlinear Anal.*, 70 (2009) 3084-3089.]

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1 Introduction

Consider the following Kirchhoff-type problem

$$\begin{cases} -K(\int_a^b |u'(x)|^2 dx)u'' = \lambda f(x, u) + \mu g(x, u), \\ u(a) = u(b) = 0 \end{cases} \quad (1)$$

where $K : [0, +\infty[\rightarrow R$ is a continuous function, $f, g : [a, b] \times R \rightarrow R$ are two Carathéodory functions and $\lambda, \mu > 0$.

Our approach is studying problem (1) relies on the following three critical points theorem (see also [16] for an earlier version as well as [3,11] for related results).

Theorem A. [Ricceri, 15] Let X be a reflexive real Banach space, $I \subseteq R$ an interval, $\Phi : X \rightarrow R$ a sequentially weakly lower semicontinuous C^1 functional bounded on each bounded subset of X whose derivative admits a continuous inverse on X^* and $J : X \rightarrow R$ a C^1 functional with compact derivative.

Assume that

$$\lim_{\|x\| \rightarrow +\infty} (\Phi(x) + \lambda J(x)) = +\infty$$

for all $\lambda \in I$, and that there exists $\rho \in R$ such that

$$\sup_{\lambda \in I} \inf_{x \in X} (\Phi(x) + \lambda(J(x) + \rho)) < \inf_{x \in X} \sup_{\lambda \in I} (\Phi(x) + \lambda(J(x) + \rho)).$$

Then, there exist a non-empty open set interval $A \subseteq I$ and a positive real number q with the following property: for every $\lambda \in A$ and every C^1 functional $\Psi : X \rightarrow R$ with compact derivative, there exists $\delta > 0$ such that, for each $\mu \in [0, \delta]$, the equation

$$\Phi'(u) + \lambda J'(u) + \mu \Psi'(u) = 0$$

has at least three solutions in X whose norms are less than q .

In the subsequent proofs, we also use the next result precisely to verify the minimax inequality in Theorem A.

Proposition B. [Bonanno, 3] Let X be a non-empty set and Φ, J two real functions on X . Assume that $\Phi(x) \geq 0$ for every $x \in X$ and there exists $u_0 \in X$ such that $\Phi(u_0) = J(u_0) = 0$. Further, assume that exist $u_1 \in X, r > 0$ such that

$$(\kappa_1) \quad \Phi(u_1) > r$$

$$(\kappa_2) \quad \sup_{\Phi(x) < r} (-J(x)) < r \frac{-J(u_1)}{\Phi(u_1)}.$$

Then, for every $\nu > 1$ and for every $\rho \in R$ satisfying

$$\sup_{\Phi(x) < r} (-J(x)) + \frac{r \frac{-J(u_1)}{\Phi(u_1)} - \sup_{\Phi(x) < r} (-J(x))}{\nu} < \rho < r \frac{-J(u_1)}{\Phi(u_1)},$$

one has

$$\sup_{\lambda \in R} \inf_{x \in X} (\Phi(x) + \lambda(J(x) + \rho)) < \inf_{x \in X} \sup_{\lambda \in [0, \sigma]} (\Phi(x) + \lambda(J(x) + \rho))$$

where

$$\sigma = \frac{\nu r}{r \frac{-J(u_1)}{\Phi(u_1)} - \sup_{\Phi(x) < r} (-J(x))}.$$

Problems of Kirchhoff-type have been widely investigated, and among the papers, we refer to the papers [2,6,7,10,12,13,19] and references therein.

Recently, B. Ricceri in an interesting paper [17] established the existence of at least three weak solutions to a class of Kirchhoff-type doubly eigenvalue boundary value problem using Theorem 2 of [14].

The purpose of this paper is to establish the existence of a non-empty open set interval $A \subseteq I$ and a positive real number q with the following property: for each $\lambda \in A$ and for each Carathéodory function $g : [a, b] \times R \rightarrow R$ such that $\sup_{|\xi| \leq s} |g(\cdot, \xi)| \in L^1(a, b)$ for all $s > 0$, there is $\delta > 0$ such that, for each $\mu \in [0, \delta]$, problem (1) admits at least three weak solutions in $W_0^{1,2}(a, b)$ whose norms are less than q .

For a thorough account on the existence of at least solutions to some second order differential equations with Dirichlet boundary value condition by Ricceri's three critical points theorem [16], we refer to [1,4,5,8,9].

For basic notations and definitions we refer to [18].

2 Preliminaries

We say that u is a weak solution to (1) if $u \in W_0^{1,2}(a, b)$ and

$$K \left(\int_a^b |u'(x)|^2 dx \right) \int_a^b u'(x)v'(x) dx - \int_a^b (\lambda f(x, u(x)) + \mu g(x, u(x)))v(x) dx = 0$$

for every $v \in W_0^{1,2}(a, b)$.

In the sequel, X will denote the Sobolev space $W_0^{1,2}(a, b)$ equipped with the norm

$$\| u \| = \left(\int_a^b |u'(x)|^2 dx \right)^{1/2}.$$

Let $K : [0, +\infty[\rightarrow R$ be a continuous function such that there exists a positive number m with $K(t) \geq m$ for all $t \geq 0$ and let $f : [a, b] \times R \rightarrow R$ be a Carathéodory function such that $\sup_{|\xi| \leq s} |f(\cdot, \xi)| \in L^1(a, b)$ for all $s > 0$.

Corresponding to K and f we introduce the functions $\tilde{K} : [0, +\infty[\rightarrow R$ and $F : [a, b] \times R \rightarrow R$ as follows

$$\tilde{K}(t) = \int_0^t K(s) ds \text{ for all } t \geq 0 \quad (2)$$

and

$$F(x, t) = \int_0^t f(x, s) ds \text{ for all } (x, t) \in [a, b] \times R. \quad (3)$$

3 Results

Our main results fully depend on the following technical lemma:

Lemma 1. Assume that there exist positive constants c, d, α and β with $\beta - \alpha < b - a$ such that

- (i) $\tilde{K}(d^2(\frac{\alpha+\beta}{\alpha\beta})) > \frac{4mc^2}{b-a}$,
- (ii) $F(x, t) \geq 0$ for each $(x, t) \in ([a, a + \alpha] \cup [b - \beta, b]) \times [0, d]$,
- (iii) $\int_a^b \sup_{t \in [-c, c]} F(x, t) dx < \frac{4mc^2}{b-a} \frac{\int_{a+\alpha}^{b-\beta} F(x, d) dx}{\tilde{K}(d^2(\frac{\alpha+\beta}{\alpha\beta}))}$.

Then, there exist $r > 0$ and $w \in X$ such that $\tilde{K}(\|w\|^2) > 2r$ and

$$\int_a^b \sup_{t \in [-\sqrt{\frac{r(b-a)}{2m}}, \sqrt{\frac{r(b-a)}{2m}}]} F(x, t) dx < 2r \frac{\int_a^b F(x, w(x)) dx}{\tilde{K}(\|w\|^2)}.$$

Proof: We put

$$w(x) = \begin{cases} \frac{d}{\alpha}(x - a) & \text{if } a \leq x < a + \alpha, \\ d & \text{if } a + \alpha \leq x \leq b - \beta, \\ \frac{d}{\beta}(b - x) & \text{if } b - \beta < x \leq b \end{cases} \quad (4)$$

and $r = \frac{2mc^2}{b-a}$. It is easy to see that $w \in X$ and, in particular, one has

$$\|w\|^2 = d^2(\frac{\alpha + \beta}{\alpha\beta}).$$

Hence, from (i) we have $\tilde{K}(\|w\|^2) > 2r$. Since $0 \leq w(x) \leq d$ for each $x \in [a, b]$, condition (ii) ensures that

$$\int_a^{a+\alpha} F(x, w(x)) dx + \int_{b-\beta}^b F(x, w(x)) dx \geq 0.$$

Moreover, owing to our assumptions, we obtain

$$\int_a^b \sup_{t \in [-\sqrt{\frac{r(b-a)}{2m}}, \sqrt{\frac{r(b-a)}{2m}}]} F(x, t) dx < \frac{4mc^2}{b-a} \frac{\int_{a+\alpha}^{b-\beta} F(x, d) dx}{\tilde{K}(d^2(\frac{\alpha+\beta}{\alpha\beta}))} \leq 2r \frac{\int_a^b F(x, w(x)) dx}{\tilde{K}(\|w\|^2)}.$$

So, the proof is complete. \square

Now, we state our main result.

Theorem 1. Assume that there exist positive constants c, d, α and β with $\beta - \alpha < b - a$ such that (i), (ii) and (iii) in Lemma 1 hold. Furthermore, suppose that

- (iv) $\frac{(b-a)^2}{2m} \limsup_{|t| \rightarrow +\infty} \frac{F(x, t)}{t^2} < \frac{1}{\theta}$ for almost every $x \in [a, b]$ and for all $t \in R$, and for some θ satisfying

$$\theta > \frac{\frac{2mc^2}{b-a}}{\frac{4mc^2}{b-a} \frac{\int_{a+\alpha}^{b-\beta} F(x, d) dx}{\tilde{K}(d^2(\frac{\alpha+\beta}{\alpha\beta}))} - \int_a^b \sup_{t \in [-c, c]} F(x, t) dx};$$

(v) there exists a continuous function $h : [0, +\infty[\rightarrow R$ such that

$$h(tK(t^2)) = t$$

for all $t \geq 0$.

Then, there exist a non-empty open interval $A \subseteq]0, \theta]$ and a number $q > 0$ with the following property: for each $\lambda \in A$ and for an arbitrary Carathéodory function $g : [a, b] \times R \rightarrow R$ such that $\sup_{|\xi| \leq s} |g(\cdot, \xi)| \in L^1(a, b)$ for all $s > 0$, there is $\delta > 0$ such that, whenever $\mu \in [0, \delta]$, problem (1) admits at least three weak solutions in X whose norms are less than q .

Remark 1. Other candidates for test function w in (4) can be considered to other versions of the statement.

Remark 2. Even if $K(t) = 1$ for all $t \geq 0$ and $\mu = 0$ in (1), we obtain the extension of previous works, specially when $f(x, t) = f(t)$ for every $(x, t) \in [a, b] \times R$, $[a, b] = [0, 1]$ and $\alpha = \beta = \frac{1}{4}$, Theorem 2 reduced to Theorem 2 in [4]. When $\alpha = \beta = \frac{b-a}{4}$, Theorem 2 becomes to Theorem 2 in [5].

Here, we want to point out a remarkable particular situation of Theorem 1.

Corollary 1. Assume that there exist positive constants c, d, p_1, p_2, α and β with $\beta - \alpha < b - a$ such that (ii) in Lemma 1 holds, and

$$(j) \quad p_1 d^2 \left(\frac{\alpha+\beta}{\alpha\beta}\right) + \frac{p_2}{2} d^4 \left(\frac{\alpha+\beta}{\alpha\beta}\right)^2 > \frac{4p_1 c^2}{b-a},$$

$$(jj) \quad \int_a^b \sup_{t \in [-c, c]} F(x, t) dx < \frac{4p_1 c^2}{b-a} \frac{\int_{a+\alpha}^{b-\beta} F(x, d) dx}{p_1 d^2 \left(\frac{\alpha+\beta}{\alpha\beta}\right) + \frac{p_2}{2} d^4 \left(\frac{\alpha+\beta}{\alpha\beta}\right)^2},$$

$$(jjj) \quad \frac{(b-a)^2}{2p_1} \limsup_{|t| \rightarrow +\infty} \frac{F(x, t)}{t^2} < \frac{1}{\theta} \text{ for almost every } x \in [a, b] \text{ and for all } t \in R, \text{ and for some } \theta \text{ satisfying}$$

$$\theta > \frac{\frac{2p_1 c^2}{b-a}}{\frac{4p_1 c^2}{b-a} \frac{\int_{a+\alpha}^{b-\beta} F(x, d) dx}{p_1 d^2 \left(\frac{\alpha+\beta}{\alpha\beta}\right) + \frac{p_2}{2} d^4 \left(\frac{\alpha+\beta}{\alpha\beta}\right)^2} - \int_a^b \sup_{t \in [-c, c]} F(x, t) dx}.$$

Then, there exist a non-empty open interval $A \subseteq]0, \theta]$ and a number $q > 0$ with the following property: for each $\lambda \in A$ and for an arbitrary Carathéodory function $g : [a, b] \times R \rightarrow R$ such that $\sup_{|\xi| \leq s} |g(\cdot, \xi)| \in L^1(a, b)$ for all $s > 0$, there is $\delta > 0$ such that, whenever $\mu \in [0, \delta]$, problem

$$\begin{cases} -(p_1 + p_2 \int_a^b |u'(x)|^2 dx) u'' = \lambda f(x, u) + \mu g(x, u), \\ u(a) = u(b) = 0 \end{cases} \quad (5)$$

admits at least three weak solutions in X whose norms are less than q .

Proof: For fixed $p_1, p_2 > 0$, set $K(t) = p_1 + p_2 t$ for all $t \geq 0$. Bearing in mind that $m = p_1$, from (j), (jj) and (jjj) we find (i), (iii) and (iv) respectively. In particular, we note that from the setting of K , there exists a continuous function $h : [0, +\infty[\rightarrow R$ such that

$$h(tK(t^2)) = t$$

for all $t \geq 0$, because the function K is non-decreasing in $[0, +\infty[$, with $K(0) > 0$, then $t \rightarrow tK(t^2)$ ($t \geq 0$) is increasing and onto $[0, +\infty[$ (see [17, Remark 4]). So, Assumption (jj) is satisfied. Hence, Theorem 1 yields the conclusion. \square

Finally, we conclude this section by giving an example to illustrate our results.

Example 1. Consider the problem

$$\begin{cases} -(1 + \int_0^1 |u'(x)|^2 dx)u'' = \lambda(e^{-u}u^{12}(13 - u) + 1) + \mu g(x, u), \\ u(0) = u(1) = 0 \end{cases} \quad (6)$$

where $g : [0, 1] \times R \rightarrow R$ is a fixed L^1 -Carathéodory function and $\lambda, \mu > 0$. Choose $K(t) = 1 + t$ for all $t \geq 0$ and $f(x, t) = f(t) = e^{-t}t^{12}(13 - t) + 1$ for every $t \in [0, 1]$. Assumptions (j) and (jj) are satisfied by choosing, for instance $d = 2$, $c = 1$, $[a, b] = [0, 1]$ and $\alpha = \beta = \frac{1}{4}$. In particular, since $\limsup_{|t| \rightarrow +\infty} \frac{F(x,t)}{t^2} = 0$, we see that the assumption (jjj) is fulfilled. So, Corollary 1 is applicable to the problem (6) for every $\theta > \frac{272}{2^{12}e^{-2} - 136e^{-1} - 135}$.

4 Proof of Theorem 1

For each $u \in X$, we put

$$\Phi(u) = \frac{1}{2} \tilde{K}(\|u\|^2)$$

and

$$J(u) = - \int_{\Omega} F(x, u(x)) dx$$

where \tilde{K} and F are given in (2) and (3), respectively. Clearly, Φ is a sequentially weakly lower semicontinuous C^1 functional as well as is bounded on each bounded subset of X , and J is a C^1 functional with compact derivative. In particular, one has

$$\Phi'(u)(v) = K(\int_a^b |u'(x)|^2 dx) \int_a^b u'(x)v'(x) dx$$

and

$$J'(u)(v) = - \int_{\Omega} f(x, u(x))v(x) dx$$

for every $v \in X$. We claim that Φ' admits a continuous inverse on X (we identify X to X^*). To this end, we need to find a continuous operator $T : X \rightarrow X$ such that $T(\Phi'(u)) = u$ for all $u \in X$.

Let $T : X \rightarrow X$ be the operator defined by

$$T(v) = \begin{cases} \frac{h(\|v\|)}{\|v\|} v & \text{if } v \neq 0 \\ 0 & \text{if } v = 0, \end{cases}$$

where h is defined in the statement. Since, h is continuous and $h(0) = 0$, we have that the operator T is continuous in X . So, for every $u \in X$, taking into account that $\inf_{t \geq 0} K(t) \geq m > 0$, using (v) we obtain

$$T(\Phi'(u)) = T(K(\|u\|^2)u) = \frac{h(K(\|u\|^2)\|u\|)}{K(\|u\|^2)\|u\|} K(\|u\|^2)u = \frac{\|u\|}{K(\|u\|^2)\|u\|} K(\|u\|^2)u = u.$$

Hence, our claim is proved. Moreover, since $m \leq K(s)$ for all $s \in [0, +\infty[$, we have

$$\Phi(u) \geq \frac{m}{2}\|u\|^2 \quad \text{for all } u \in X. \tag{7}$$

Further, thanks to (iv), there exist two constants $\gamma, \tau \in R$ with $0 < \gamma < \frac{1}{\theta}$ such that

$$\frac{(b-a)^2}{2m} F(x, t) \leq \gamma t^2 + \tau \quad \text{for a.e. } x \in (a, b) \text{ and all } t \in R.$$

Fix $u \in X$. Then

$$F(x, u(x)) \leq \frac{2m}{(b-a)^2} (\gamma |u(x)|^2 + \tau) \quad \text{for all } x \in (a, b). \tag{8}$$

Then, for any fixed $\lambda \in]0, \theta]$, taking into account that

$$\max_{x \in [a, b]} |u(x)| \leq \frac{(b-a)^{\frac{1}{2}}}{2} \|u\|, \tag{9}$$

from (7) and (8), we obtain

$$\begin{aligned} \Phi(u) + \lambda J(u) &= \frac{1}{2} \tilde{K}(\|u\|^2) - \lambda \int_a^b F(x, u(x)) dx \\ &\geq \frac{m}{2} \|u\|^2 - \frac{2\theta m}{(b-a)^2} \left(\gamma \int_a^b |u(x)|^2 + \tau(b-a) \right) \\ &\geq \frac{m}{2} \|u\|^2 - \frac{2\theta m}{(b-a)^2} \left(\gamma \frac{(b-a)^2}{4} \|u\|^2 + \tau(b-a) \right) \\ &= \frac{m}{2} (1 - \gamma\theta) \|u\|^2 - \frac{2\theta\tau m}{b-a}, \end{aligned}$$

and so

$$\lim_{\|u\| \rightarrow +\infty} (\Phi(u) + \lambda J(u)) = +\infty.$$

Now, we claim that there exist $r > 0$ and $w \in X$ such that

$$\sup_{u \in \Phi^{-1}([-\infty, r])} (-J(u)) < r \frac{-J(w)}{\Phi(w)}.$$

Moreover, taking into account that (9), from (7) we have

$$\Phi^{-1}(]-\infty, r]) \subseteq \left\{ u \in X; |u(x)| \leq \sqrt{\frac{r(b-a)}{2m}} \text{ for all } x \in [a, b] \right\},$$

and it follows that

$$\sup_{u \in \Phi^{-1}(]-\infty, r])} (-J(u)) \leq \int_a^b \sup_{t \in [-\sqrt{\frac{r(b-a)}{2m}}, \sqrt{\frac{r(b-a)}{2m}}]} F(x, t) dx.$$

Now, thanks to Lemma 1, there exist $r > 0$ and $w \in X$ such that $\tilde{K}(\|w\|^2) > 2r$ and

$$\int_a^b \sup_{t \in [-\sqrt{\frac{r(b-a)}{2m}}, \sqrt{\frac{r(b-a)}{2m}}]} F(x, t) dx < 2r \frac{\int_a^b F(x, w(x)) dx}{\tilde{K}(\|w\|^2)}.$$

So, $\Phi(w) > r$ and

$$\sup_{u \in \Phi^{-1}(]-\infty, r])} (-J(u)) < r \frac{-J(w)}{\Phi(w)}.$$

Hence, due to the choice of θ , taking $u_0 = 0$ and $u_1 = w$, by Proposition B, for a suitable constant ρ , we obtain

$$\sup_{\lambda \in \mathbb{R}} \inf_{u \in X} (\Phi(u) + \lambda J(u) + \rho \lambda) < \inf_{u \in X} \sup_{\lambda \in [0, \theta]} (\Phi(u) + \lambda J(u) + \rho \lambda).$$

For any fixed L^1 -Carathéodory function $g : [a, b] \times \mathbb{R} \rightarrow \mathbb{R}$, set

$$\Psi(u) = - \int_a^b \int_0^{u(x)} g(x, s) ds dx.$$

It is well known that Ψ is a continuously differentiable functional whose differential $\Psi'(u) \in X^*$, at $u \in X$ is given by

$$\Psi'(u)(v) = - \int_a^b g(x, u(x))v(x) dx \text{ for every } v \in X,$$

such that $\Psi' : X \rightarrow X^*$ is a compact operator. Now, all the assumptions of Theorem A, are satisfied. Hence, applying Theorem A, taking into account that the critical points of the functional $\Phi + \lambda J + \mu \Psi$ are exactly the weak solutions of the problem (1), we have the conclusion. \square

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