

## Completeness and compact generation in partially ordered sets

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### Abstract

In this paper we introduce a notion of density in posets in a more general fashion. We also introduce completeness in posets and study compact generation in posets based on such completeness and density. ©2016 All rights reserved.

*Keywords:* U-density, U-complete poset, U-compactly generated poset, U-regular interval.

### 1. Introduction

We begin with the necessary definitions and terminologies in a poset  $P$ . An element  $x$  of a poset  $P$  is an upper bound of  $A \subseteq P$  if  $a \leq x$  for all  $a \in A$ . A lower bound is defined dually. The set of all upper bounds of  $A$  is denoted by  $A^u$  (read as,  $A$  upper cone), where  $A^u = \{x \in P : x \leq a \text{ for every } a \in A\}$  and dually, we have the concept of a lower cone  $A^l$  of  $A$ . If  $P$  contains a finite number of elements, it is called a finite poset. A subset  $A$  of a poset  $P$  is called a chain if all the elements of  $A$  are comparable. A poset  $P$  is said to be of length  $n$ , where  $n$  is a natural number, if there is a chain in  $P$  of length  $n$  and all chains in  $P$  are of length  $n$ . A poset  $P$  is of finite length if it is of length  $n$  for some natural number  $n$ . A poset  $P$  is said to be bounded if it has the greatest (top) and the least (bottom) element denoted by  $1$  and  $0$ , respectively. By  $[x, y]$  ( $x \leq y; x, y \in P$ ) we denote an interval, that is, set of all  $z \in P$  for which  $x \leq z \leq y$ . In a poset  $P$  we say that  $x$  is covered by  $y$  and write  $x \prec y$ , if  $x \leq z \leq y$  implies  $x = z$  or  $z = y$ . An element  $p$  of a poset  $P$  with  $0$  is called an atom if  $0 \prec p$ . The set of atoms of  $P$  is denoted by  $A(P)$ . For a non-zero element  $a \in P$ ,  $\omega(a)$  denotes the set of atoms contained in  $a$ , that is,  $\omega(a) = \{p \in A(P) : p \prec a\}$ . For the subsets  $A, B$  of a poset  $P$ , we denote the followings:

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- $\{A, B\}^L = \{x \in P : x \text{ is a maximal element in } \{A, B\}^l\}$ ,
- $\{A, B\}^U = \{x \in P : x \text{ is a minimal element in } \{A, B\}^u\}$ .

A poset  $P$  is called weakly atomic if for every pair of elements  $a, b \in P$  with  $a < b$ , there exist elements  $u, v \in P$  such that  $a \leq u \prec v \leq b$ . A poset  $P$  is called strongly atomic if every interval  $[x, y]$  of  $P$  has an atom. Equivalently, for every interval  $[x, y]$  with  $x < y$ , there exists  $a \in P$  such that  $x \prec a \leq y$ .

Erne [6] studied compact generation in posets. A subset  $D \subseteq P$  is called directed subset if for every  $x, y \in D$ ,  $(x, y)^u$  is non-empty in  $D$  and in this case every finite subset of  $D$  has an upper bound in  $D$  (in particular  $D$  is non-empty). A poset  $P$  is called up-complete if every directed subset  $D \subseteq P$  has a join denoted by  $\bigvee D$ . A poset  $P$  is called chain-complete or Dedekind complete if every non-empty chain of  $P$  has a join and meet; in other words, if  $P$  and its dual are up-complete. An element  $x$  of an up-complete poset  $P$  is called compact if for every directed subset  $D$  of  $P$  with  $x \leq \bigvee D$  there exists an element  $y \in D$  with  $x \leq y$ . A poset  $P$  is called compactly generated if each element of  $P$  is a join of compact elements. The set of all compact elements of a poset  $P$  is denoted by  $K(P)$ . For more details see Gierz et al. [7].

In general, a subset  $S$  of a poset  $P$  is called join-dense in  $P$ , if each element of  $P$  is a join of elements from  $S$ . Equivalently, for any two elements  $a, b \in P$  with  $a \not\leq b$ , there is some  $s \in S$  with  $s \leq a, s \not\leq b$ . We also have the concept of meet-density which is defined dually.

Join-density plays a crucial role in poset theory to construct some important classes of posets. We mention some of these classes. Let  $P$  be a poset;

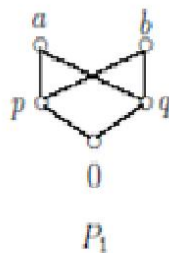
- (i) if the set of all atoms is join-dense in  $P$  then  $P$  is atomistic,
- (ii) if the set of all compact elements is join-dense in  $P$  then  $P$  is compactly generated.

However, Shewale [9] has given the following definition of an atomistic poset. A Poset  $P$  with 0 is called atomistic if every  $a \in P$  is such that  $a \in \{p \in A(P) : p \leq a\}^U$ .

## 2. Complete posets

We introduce a more general concept of density in posets as follows:

**Definition 2.1.** Let  $P$  be a poset. A subset  $S \subseteq P$  is called  $U$ -dense in  $P$ , if each element of  $P$  belongs to  $S_1^U$  for some  $S_1 \subseteq S$ . We also have the concept of  $L$ -density which is defined dually.



We note that every join-dense subset of a poset  $P$  is  $U$ -dense but the converse neednot be true. For instance, in the poset depicted in Figure  $P_1$  the set of atoms is  $U$ -dense but not join-dense.

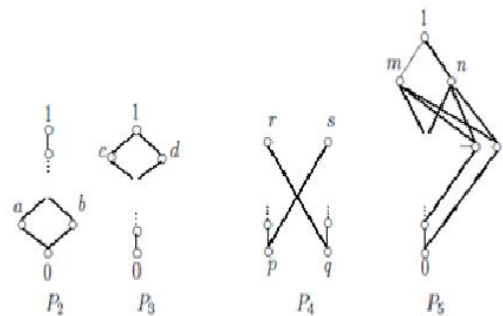
We observe that in a poset  $P$  if  $A(P)$  is  $U$ -dense then  $P$  is atomistic in the sense of Shewale [9]. Henceforth, atomistic posets in the sense of Shewale is termed as  $U$ -atomistic posets.

*Remark 2.2.* We observe that if a subset  $S$  of a poset  $P$  is  $U$ -dense in  $P$  then for any two elements  $a, b \in P$  with  $a < b$ , there is some  $s \in S$  with  $s \leq b$ ,  $s \not\leq a$ . Indeed, consider  $\{si : si \leq b; i \in I\} \subseteq S$ . Then  $b \in \{si : si \leq b; i \in I\}^U$ . If  $si \leq a$  for every  $i$  then  $b \notin \{si : si \leq b; i \in I\}^U$ , a contradiction.

We apply  $U$ -density to the set of all atoms and compact elements of a given poset to obtain and study classes of posets, namely, atomistic and compactly generated posets, respectively.

For a given pair of elements  $a, b$  of a poset we may have the set  $(a, b)^u$  is nonempty but the set  $(a, b)^l$  is an empty set; see the poset  $P_2$ . This observation along with  $U$ -density lead us to define completeness and further compactness and compact generation in posets.

**Definition 2.3.** A poset  $P$  is called conditionally  $U$ -complete if for every subset  $H \subseteq P$  and for every  $u \in H^u$ , there exists an element  $v \in H^l$  such that  $v \leq u$ . A poset  $P$  is called conditionally  $L$ -complete if for every subset  $H \subseteq P$  and for every  $l \in H^l$ , there exists an element  $t \in H^u$  such that  $l \leq t$ . A poset  $P$  is called conditionally complete if it is both conditionally  $U$ -complete and conditionally  $L$ -complete.



We observe that every  $U$ -complete poset has the top element 1 and every  $L$ -complete poset has the bottom element 0. Consequently, every complete poset is a bounded poset. We also observe that a bounded conditionally complete poset is a complete poset. If a complete poset  $P$  happens to be a lattice then our completeness coincides with the lattice completeness. There exist posets which are complete but not up-complete nor chain-complete and vice versa. The poset depicted in Figure  $P_5$  is a complete poset which is not chain-complete. The poset  $P_2$  is up-complete but it is not  $U$ -complete nor chain-complete. The poset  $P_3$  is  $U$ -complete but not chain-complete nor  $L$ -complete. The poset  $P_4$  is up-complete as well as chain-complete but it is not  $U$ -complete nor  $L$ -complete and hence it is not complete. The poset depicted in the Figure  $P_4$  is conditionally complete. The poset depicted in Figure  $P_2$  is conditionally  $L$ -complete but not conditionally  $U$ -complete.

*Remark 2.4.* We assert that the converse part of Remark 2.2 holds in  $U$ -complete posets. In fact, consider two elements  $a, b \in P$  with  $a < b$  such that there exists an element  $s$  of a subset  $S$  of  $P$  with  $s \leq b$ ,  $s \not\leq a$ . We claim that  $S$  is  $U$ -dense in  $P$ , that is, for every  $x \in P$  there exists a subset  $S_1$  of  $S$  such that  $x \in S_1^U$ .  $U$ -completeness assures that  $S_1^U$  is non-empty. On the contrary, assume that  $x \notin S_1^U$  for some  $x \in P$ . Then there exists an element  $y \in S_1^U$  such that  $y < x$ . By assumption, there exists an element  $s_1 \in S_1$  such that  $s_1 \leq x$ ,  $s_1 \not\leq y$ . However,  $s_1 \not\leq y$  contradicts the fact that  $s_1 \leq y$  since  $y \in S_1^U$ .

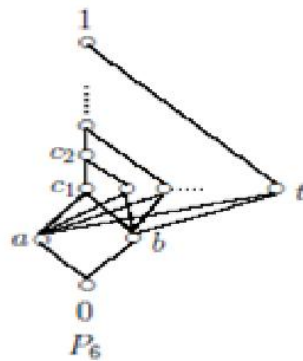
Consequently, a subset  $S$  of a  $U$ -complete poset  $P$  is  $U$ -dense in  $P$  if and only if for any two elements  $a, b \in P$  with  $a < b$ , there is some  $s \in S$  with  $s \leq b$ ,  $s \not\leq a$ .

### 3. Compact generation in posets

In this section first we define the notion of compactness in posets and then we study compact generation.

**Definition 3.1.** An element  $c$  of a conditionally complete poset  $P$  is called  $U$ -compact if  $c \leq u$  for some  $u \in X^U$ , where  $X \subseteq P$  implies that  $c \leq u_1$  for some  $u_1 \in X_1^U$ , where  $X_1$  is a finite subset of  $X$ . The set of all  $U$ -compact elements of a poset  $P$  is denoted by  $C(P)$ .

**Definition 3.2.** A complete poset  $P$  is said to be  $U$ -compactly generated if the set of all  $U$ -compact elements is  $U$ -dense in  $P$ . A poset  $P$  is said to be  $U$ -compactly atomistic if every atom of  $P$  is  $U$ -compact and the set of all atoms is  $U$ -dense in  $P$ .



Evidently, if a poset  $P$  is finite then every element is  $U$ -compact. If a poset  $P$  is both up-complete and complete then  $U$ -compactness implies compactness. Let  $c$  be a  $U$ -compact element of  $P$  and  $c \leq \bigvee D$ , where  $D$  is a directed subset of  $P$ . As  $c$  is  $U$ -compact and  $\bigvee D = D^U$ , there exists a finite subset  $D_1$  of  $D$  such that  $c \leq u$  for some  $u \in D_1^U$ . But  $u \in D$  and so  $c$  is compact. The poset  $P_6$  is complete as well as up-complete. The element  $t$  is not  $U$ -compact (nor compact) and it is not the join of compact elements contained in it. In fact,  $t \in (a, b)^U$ , where both  $a$  and  $b$  are  $U$ -compact. The poset  $P_6$  is a  $U$ -compactly generated poset which is not compactly generated.

We investigate properties of  $U$ -compactly generated posets and its relationships with other known concepts in posets. One of the concepts which is well studied in the class of atomistic lattices is the concept of a finite element. An element  $a$  in a lattice  $L$  with  $0$  is called finite if either  $a = 0$  or  $a$  is a join of finite number of atoms. Shewale [9] introduced the concept of a finite element in posets as follows. An element  $a$  of a poset  $P$  with  $0$  is called a finite element if either  $a = 0$  or  $a \in \{\text{finitely many atoms}\}^U$ .

*Remark 3.3.* In every  $U$ -compactly generated poset the atoms are  $U$ -compact. For, let  $P$  be a  $U$ -compactly generated poset and let  $p$  be an atom of  $P$ . Then  $p \in S^U$ , where  $S \subseteq \{c \in P : c \in C(P)\}$ . Note that  $c \leq p$  for every  $c \in S$  and we must have at least one non-zero  $c \in S$ . Otherwise, we get  $p \in S^U = \{0\}$  which is not possible. Consequently, such  $c$  is  $p$  and so  $p$  is  $U$ -compact.

Birkhoff [1] proved that in an atomistic compactly generated lattice, every compact element is a finite element. The similar fact we state for posets in the following sense.

**Lemma 3.4.** *In a  $U$ -atomistic  $U$ -compactly generated poset ( $U$ -compactly atomistic poset), every  $U$ -compact element is a finite element.*

*Proof.* Let  $P$  be a poset as described in the statement and let  $c$  be a  $U$ -compact element of  $P$ . Since  $P$  is  $U$ -atomistic,  $c \in \{\omega(c)\}^U$ . Now, by  $U$ -compactness of  $c$ , there exists a finite subset  $S$  of  $\omega(c)$  such that  $c \in S^U$ . Since  $S$  essentially contains finite number of atoms,  $c$  is finite.  $\square$

Crawley and Dilworth [5] essentially proved that every compactly generated lattice is weakly atomic and here we extend this result to posets in the following sense.

**Theorem 3.5.** *Every  $U$ -compactly generated poset is weakly atomic.*

*Proof.* Let  $P$  be a  $U$ -compactly generated poset and  $b < a$  in  $P$ . Then there exists a  $U$ -compact element  $c$  such that  $c \leq a$ ,  $c \not\leq b$ . As  $b < a$ ,  $c \leq a$  and  $P$  is complete, there exists  $u \in (b, c)^U$  such that  $b < u \leq a$ . Consider  $Q = \{x \in P : b \leq x < u; x \not\leq c\}$  which is non-empty since  $b \in Q$ . Note that for every chain  $C$  in  $Q$ ,  $C^U$  is non-empty and so let  $d \in C^U$ . Clearly  $b \leq d \leq u$ . Also,  $d \neq u$  and  $d \not\leq c$ . Indeed, if  $d = u$  then  $d \in (b, c)^U$  and we get  $c \leq d$ . Now, as  $c$  is  $U$ -compact, there exists a finite subset (finite chain)  $T$  of  $C$  such that  $c \leq \bigvee T = T^U$  and since  $T$  is a finite chain, if  $x$  is the largest element of  $T$ , then we have  $c \leq x$  and consequently  $x \notin Q$ , a contradiction. If  $c \leq d$ , by the similar arguments we get a contradiction. In nutshell,  $b \leq d < u$  with  $d \not\leq c$  and consequently  $d \in Q$ . It means that every chain in  $Q$  has an upper bound in  $Q$  and by Zorn's Lemma,  $Q$  contains a maximal element, say  $v$ . Now,  $b \leq v < u \leq a$  and maximality of  $v$  ensures that there does not exist  $z \in P$  with  $v < z < u$ . Indeed, otherwise we get  $z \in (b, c)^u$  with  $z < u$ , a contradiction to the fact that  $u \in (b, c)^U$ . Therefore  $b \leq v < u \leq a$  and  $P$  is weakly atomic.  $\square$

Next, we extend some results from Stern [10] known for lattices; see also Kalman [8]. The concept of a complement of an element in a poset is well known and studied in the literature; for more details, see Chajda [3] and Chajda and Moravkova [4].

Let  $P$  be a poset with 0 and 1. A complement of an element  $a \in P$  is an element  $a' \in P$  if  $(a, a')^u = \{1\}$  and  $(a, a')^l = \{0\}$ . A poset  $P$  with 0 and 1 is called complemented when every element of  $P$  has a complement. Let  $P$  be a poset. Let  $x \in [a, b] \subseteq P$ . An element  $y \in [a, b]$  is called a weak relative complement of  $x$  in  $[a, b]$  if  $(x, y)^u \cap [a, b] = \{b\}$  and  $(x, y)^l \cap [a, b] = \{a\}$ . A poset  $P$  is called weakly relatively complemented if for every interval  $[a, b]$  of  $P$ , each  $x$  in  $[a, b]$  has a weak relative complement in  $[a, b]$ .

**Proposition 3.6.** *Let  $P$  be a  $U$ -compactly generated poset. If an atom  $p \in P$  has a complement  $p'$ , then there exists a dual atom  $m(\geq p')$  which is also a complement of  $p$ .*

*Proof.* Let  $P$  be a  $U$ -compactly generated poset,  $p$  be an atom and consider the set  $Q = \{x \in P : (p, x)^l = \{0\}; x \geq p'\}$  which is non-empty since  $p' \in Q$ . Note that for every chain  $C$  in  $Q$ ,  $C^U$  is non-empty and so let  $d \in C^U$ . We claim that  $d \in Q$ . Indeed, if  $d \notin Q$  then  $(d, p')^l \neq \{0\}$  and so  $p \leq d$ . Now,  $P$  is  $U$ -compactly generated so  $p$  is  $U$ -compact and hence  $p \leq \{d_1\} = C_1^U$  for some finite subset  $C_1 \subseteq C$ . But  $C_1$  is a finite chain, therefore  $C_1^U = \bigvee C_1$  and hence  $d_1 \in C$  and consequently  $(p, d)^l = \{0\}$ , a contradiction to the fact that  $p \leq d_1$ . Thus,  $d$  is an upper bound for  $C$  and by Zorn's Lemma, there exists a maximal element in  $Q$ , say  $m$ .  $\square$

**Claim 1:**  $m$  is the complement of  $p$ . As  $m \in Q$ ,  $(m, p)^l = \{0\}$ . As  $p' \leq m$ , we must have  $(m, p)^u = \{1\}$ , otherwise  $(p, p')^u \neq \{1\}$  which is not possible.

**Claim 2:**  $m$  is a dual atom. If  $m$  is not a dual atom then there exists an element  $n$  which is not in  $Q$  such that  $m < n < 1$ . As  $n \notin Q$ ,  $(n, p)^l \neq \{0\}$  so  $p \leq n$  and  $n \in (p, m)^u = \{1\}$ , that is,  $n = 1$ , a contradiction.

**Theorem 3.7.** *In an atomic  $U$ -compactly generated poset  $P$ , each atom has a complement if and only if  $S^l = \{0\}$ , where  $S$  is the set of all dual atoms of  $P$ .*

*Proof.* Let  $P$  be an atomic  $U$ -compactly generated and  $S$  be the set of all dual atoms of  $P$ . Suppose that  $S^l = \{0\}$ , and there exists an atom  $p \in P$  which has no complement. This means  $p \leq m$  for every dual atom  $m \in P$  and consequently  $p \in S^l$ , a contradiction. Conversely, suppose that each atom has a complement. If  $S^l \neq \{0\}$  then there exists a non-zero element in  $S^l$ , say  $a$ . Let  $p$  be an atom contained in  $a$ . As every dual atom  $d$  contains  $p$ , there is no dual atom which is a complement of  $p$ , a contradiction to Proposition 3.6. □

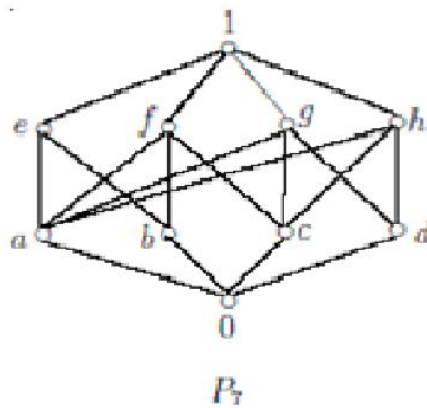
Next, we generalize some definitions and results due to Bjorner [2] and Stern [10] for lattices.

**Definition 3.8.** We say that an interval  $[x, y]$  of a poset of finite length is  $U$ -regular, if  $y \in \{\text{atoms of } [x, y]\}^U$ . Dually, we have the concept of  $L$ -regular intervals. An interval  $[x, y]$  is called an upperinterval if  $y = 1$ . It is called a lowerinterval if  $x = 0$ .

For every element  $a \in P$ ,  $[0, a]$  is  $U$ -regular if and only if  $a \in \{\omega(a)\}^U$  and so we have the following.

**Proposition 3.9.** *Every lower interval of a poset  $P$  of finite length is  $U$ -regular if and only if  $P$  is  $U$ -atomistic.*

Bjorner [2] essentially proved that if  $L$  is a lattice of finite length such that all upper intervals are join-regular then  $L$  is complemented. By the following example we show that this fact fails in posets. Consider the poset depicted in Figure  $P_7$  of which every interval is  $U$ -regular, how ever the



poset is not complemented. Also, it is known that if all upper intervals of a lattice of finite length are join-regular, then they are meet-regular too (see Stern [10]). The poset depicted in the Figure  $P_7$  shows that although all upper intervals of this poset are  $U$ -regular but the interval  $[0, 1]$  is not  $L$ -regular.

*Remark 3.10.* The statement of Theorem 3.7 can be rephrased as: in a bounded poset  $P$  of finite length, the upper interval  $[0, 1]$  is  $L$ -regular if and only if each atom of  $P$  has a complement.

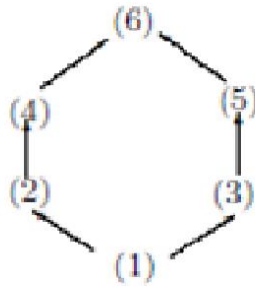
**Corollary 3.11.** *An interval  $[x, y]$  of a bounded poset of finite length is  $L$ -regular if and only if each atom of  $[x, y]$  has a complement in this interval.*

*Proof.* The statement follows immediately by applying Theorem 3.7 to the interval  $[x, y]$ . □

**Theorem 3.12.** *Let  $P$  with 0 and 1 be a poset of finite length. Consider the following statements.*

- (1)  $P$  is relatively complemented.
- (2) All intervals of  $P$  are  $L$ -regular.
- (3) All intervals of  $P$  are  $U$ -regular.
- (4)  $P$  is dually  $U$ -atomistic.
- (5)  $P$  is  $U$ -atomistic.
- (6)  $P$  has no 3-element interval.

Then ( $\rightarrow$  indicates implication)



*Proof.* Let  $P$  be weakly relatively complemented. Then each interval  $[x, y]$  is complemented for  $x, y \in P$  and in particular, each atom of  $[x, y]$  has a complement in  $[x, y]$ . Corollary 3.11 yields now that each interval is  $L$ -regular. In particular, every upper interval  $[a, 1]$ ,  $a \in P$  is  $L$ -regular and hence  $P$  is dually  $U$ -atomistic, proving (1)  $\rightarrow$  (2)  $\rightarrow$  (4). (1)  $\rightarrow$  (3)  $\rightarrow$  (5) follows dually. (5)  $\rightarrow$  (6) and (4)  $\rightarrow$  (6) are evident. □

We now take a look at the definition of upper semimodular posets introduced by Shewale [9].

**Definition 3.13.** A poset  $P$  is called upper semimodular, briefly USM, if  $l \prec a$  for some  $l \in (a, b)^L$  implies that  $b \prec u$  for all  $u \in (a, b)^U$ . A lower semimodular (LSM) poset is defined dually.

**Proposition 3.14.** *In a complete USM poset  $P$  the interval  $[0, 1]$  is  $U$ -regular if and only if each upper interval of  $P$  is  $U$ -regular.*

*Proof.* Let  $P = [0, 1]$  be  $U$ -regular and consider an arbitrary upper interval  $[x, 1]$ . Since  $1 = \bigvee\{A(P)\}$  and  $x < 1$ , there exists an atom  $p$  such that  $p \not\leq x$ . By upper semimodularity all the elements of  $(p, x)^U$  are atoms of  $[x, 1]$ . It follows that the join of all atoms of  $[x, 1]$ , which can be written in the form of  $(q, x)^U$  for every atom  $q \not\leq x$ , must be 1 since otherwise it contradicts  $U$ -regularity of  $[0, 1]$ . Hence  $[x, 1]$  is  $U$ -regular. Converse is true as  $[0, 1]$  itself is an upper interval. □

*Remark 3.15.* In every bounded complemented poset  $P$  of finite length,  $1 = \bigvee\{A(P)\}$  (dually, meet of dual atoms is 0). In fact, since  $P$  is complemented then in particular, each dual atom of  $P$  has a complement. The dual of Theorem 3.7 now implies that the greatest element 1 is the join of atoms.

Evidently, every strongly atomic poset is weakly atomic. The converse need not be true in general. We obtain a class of posets in which weakly atomicity and strongly atomicity are equivalent.

**Proposition 3.16.** *Let  $P$  be a weakly relatively complemented lower semimodular poset. Then  $P$  is strongly atomic if and only if  $P$  is weakly atomic.*

*Proof.* Let  $P$  be such a given poset and  $b < a$  in  $P$ . Since  $P$  is  $U$ -compactly generated,  $P$  is weakly atomic. Therefore, there exist  $u, v \in P$  such that  $b \leq v \prec u \leq a$ . As  $P$  is relatively complemented,  $v$  has a complement in  $[b, u]$ , say  $v'$ . Since  $v' \not\leq v$  and  $v \prec u$ , by lowersemimodularity,  $l \prec v'$  for all  $l \in (v, v')^L$ . As  $b \in (v, v')^L$  we have  $b \prec v'$  and consequently  $P$  is strongly atomic.  $\square$

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